Serializable Snapshot Isolation in PostgreSQL

Dan Ports
University of Washington
MIT

Kevin Grittner Wisconsin Supreme Court

For years, PostgreSQL's "SERIALIZABLE" mode did not provide true serializability

instead: snapshot isolation – allows anomalies

PostgreSQL 9.1: Serializable Snapshot Isolation

- based on recent research [Cahill, SIGMOD '08]
- first implementation in a production DB release
 & first in a purely-snapshot DB

This talk....

- Motivation: Why serializability?
 Why did we choose SSI?
- Review of snapshot isolation and SSI
- Implementation challenges & optimizations
- Performance

Serializability vs. Performance

Two perspectives:

- Serializability is important for correctness
 - simplifies development;
 don't need to worry about race conditions
- Serializability is too expensive to use
 - locking restricts concurrency;
 use weaker isolation levels instead

Serializability vs. Performance (in PostgreSQL)

PostgreSQL offered snapshot isolation instead

- better performance than 2-phase locking "readers don't block writers, writers don't block readers"
- but doesn't guarantee serializability!

Snapshot isolation isn't enough for some users

complex databases with strict integrity requirements,
 e.g. Wisconsin Court System

Serializability vs. Performance (in PostgreSQL)

PostgreSQL offered snapshot isolation instead

- better performance than 2-phase locking "readers don't block writers, writers don't block readers"
- but doesn't guarantee serializability!

Snapshot isolation isn't enough for some users

complex databases with strict integrity requirements,
 e.g. Wisconsin Court System

Serializable Snapshot Isolation offered true serializability with performance benefits of snapshot isolation!

Serializable Snapshot Isolation

SSI approach:

- run transactions using snapshot isolation
- detect conflicts between transactions at runtime; abort transactions to prevent anomalies

Appealing for performance reasons

- aborts less common than blocking under 2PL
- readers still don't block writers!

[Cahill et al. Serializable Isolation for Snapshot Databases, SIGMOD '08]

SSI in PostgreSQL

Available in PostgreSQL 9.1; first production implementation

Contributions: new implementation techniques

- Detecting conflicts in a purely-snapshot DB
- Limiting memory usage
- Read-only transaction optimizations
- Integration with other PostgreSQL features

Outline

- Motivation
- Review of snapshot isolation and SSI
- Implementation challenges & optimizations
- Performance
- Conclusions

Goal: ensure at least one guard always on-duty

guard	on-duty?
Alice	У
Bob	У

Goal: ensure at least one guard always on-duty

guard	on-duty?
Alice	У
Bob	у

```
BEGIN
SELECT count(*)
FROM guards
WHERE on-duty = y
if > 1 {
 UPDATE guards
 SET on-duty = n
 WHERE guard = x
COMMIT
```

guard	on-duty?
Alice	у
Bob	у

SELECT count(*)
FROM guards
WHERE on-duty = y
[result = 2]

guard	on-duty?
Alice	У
Bob	У

SELECT count(*)
FROM guards
WHERE on-duty = y
[result = 2]

BEGIN

SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]

guard	on-duty?
Alice	y
Bob	у

```
BEGIN
SELECT count(*)
FROM guards
WHERE on-duty = y
     [result = 2]
if > 1 {
 UPDATE guards
 SET on-duty = n
 WHERE guard = 'Alice'
COMMIT
```

guard	on-duty?
Alice	У
Bob	У

SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]

```
BEGIN
SELECT count(*)
FROM guards
WHERE on-duty = y
     [result = 2]
if > 1 {
 UPDATE guards
 SET on-duty = n
 WHERE guard = 'Alice'
COMMIT
```

guard	on-duty?
Alice	y n
Bob	y

SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]

```
BEGIN
```

guard	on-duty?	
Alice	y/ n	
Bob	у	

```
SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]
```

```
if > 1 {
   UPDATE guards
   SET on-duty = n
   WHERE guards = 'Bob'
}
COMMIT
```

guard	on-duty?	
Alice	y/ n	
Bob	y/ n	

```
SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]
```

```
if > 1 {
    UPDATE guards
    SET on-duty = n
    WHERE guards = 'Bob'
}
COMMIT
```

guard	on-duty?	
Alice	y/ n	
Bob	y/ n	

```
SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]
```

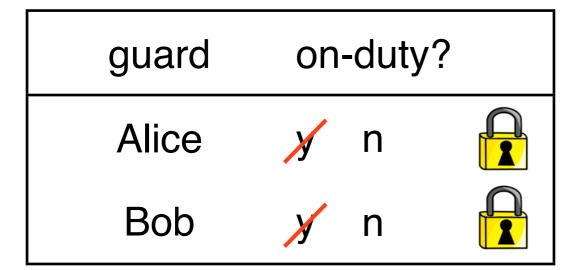
```
if > 1 {
    UPDATE guards
    SET on-duty = n
    WHERE guards = 'Bob'
}
COMMIT
```

BEGIN SELECT count(*) rw-conflict: FROM guards T1 didn't see WHERE on-duty = y**T2's UPDATE** [result = 2] if > 1 { **UPDATE** guards SET on-duty = nWHERE guard = 'Alice' **COMMIT**

guard	on-duty?
Alice	y n
Bob	y n

```
SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]
```

```
if > 1 {
   UPDATE guards
   SET on-duty = n
   WHERE guards = 'Bob'
}
COMMIT
```



BEGIN

rw-conflict:

T1 didn't see

T2's UPDATE

```
SELECT count(*)
FROM guard
WHERE on-duty = y
[result = 2]
rw-conflict:
T2 didn't see
```

T1's UPDATE

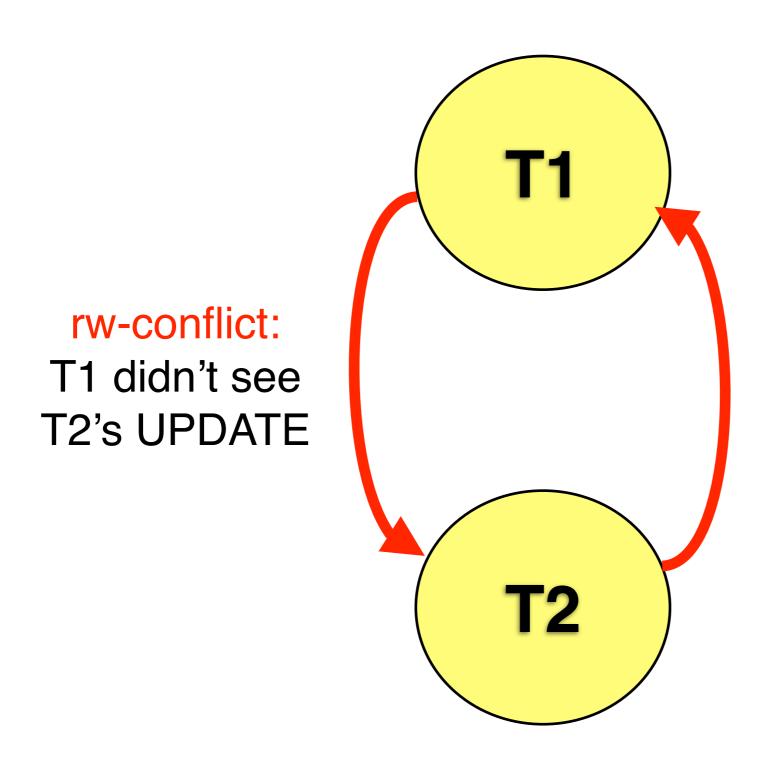
```
if > 1 {
   UPDATE guards
   SET on-duty = n
   WHERE guards = 'Bob'
}
COMMIT
```

SSI Approach

Detect these rw-conflicts and maintain a conflict graph

Serializability theory: each anomaly involves two adjacent rw-conflict edges

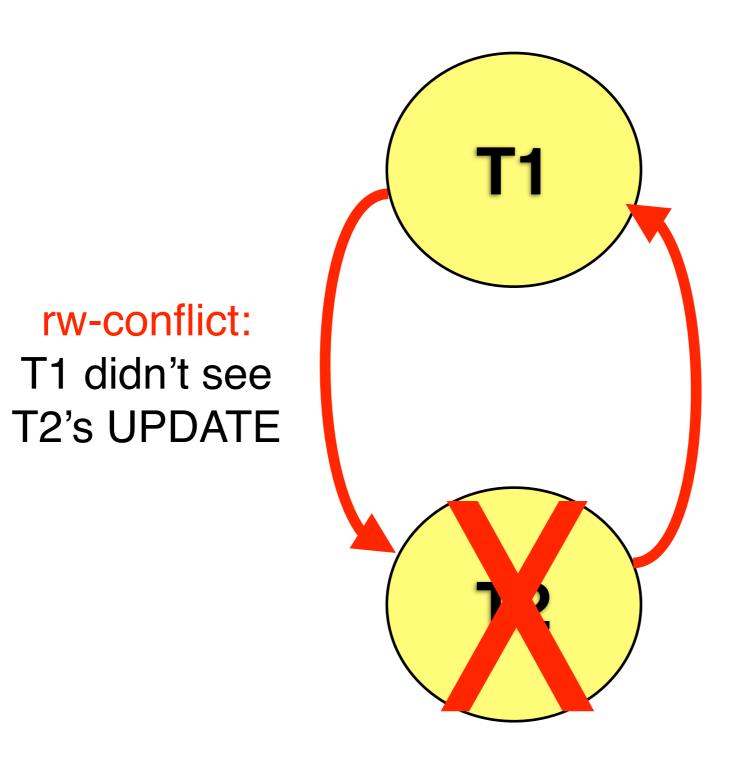
- if found, abort some involved transaction
- note: can have false positives



rw-conflict:

T2 didn't see T1's UPDATE

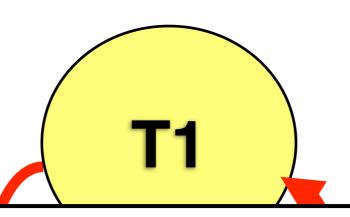
two adjacent edges: T1 -> T2 and T2 -> T1



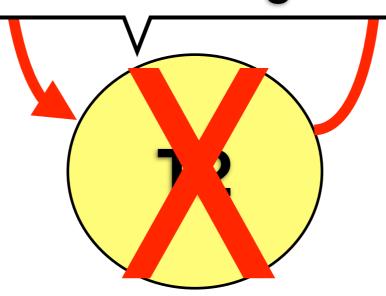
rw-conflict:

T2 didn't see T1's UPDATE

two adjacent edges: T1 -> T2 and T2 -> T1



ERROR: could not serialize access due to read/write dependencies among transactions HINT: The transaction might succeed if retried.



two adjacent edges: T1 -> T2 and T2 -> T1

Outline

- Motivation
- Review of snapshot isolation and SSI
- Implementation challenges & optimizations
- Performance
- Conclusions

SSI in PostgreSQL

Implementation challenges:

- Detecting conflicts in a purely-snapshot DB
 - requires new lock manager
- Reining in potentially-unbounded memory usage

Detecting Conflicts

How to detect when an update conflicts with a previous read?

Previous SSI implementations: reuse read locks from existing lock mgr

But...

- PostgreSQL didn't have read locks!
- …let alone predicate locks

SSI Lock Manager

Needed to build a new lock manager to track read dependencies

- Uses multigranularity locks, index-range locks
- Doesn't block, just flags conflicts
 no deadlocks
- Locks need to persist past transaction commit

Memory Usage

Need to keep track of transaction readsets + conflict graph

- not just active transactions; also committed ones that ran concurrently
- one long-running transaction can cause memory usage to grow without bound

Could exhaust shared memory space (esp. in PostgreSQL)

Read-Only Transactions

Many long-running transactions are read-only; optimize for these

Safe snapshots: cases where r/o transactions can never be a part of an anomaly

- can then run using regular SI w/o SSI overhead
- but: can only detect once all concurrent r/w transactions complete

Deferrable transactions: delay execution to ensure safe snapshot

Graceful Degradation

What if we still run out of memory?

Don't want to refuse to accept new transactions

Instead: keep less information

(tradeoff: more false positives)

- keep less state about committed transactions
- deduplicate readsets: "read by some committed transaction"

Outline

- Motivation
- Review of snapshot isolation and SSI
- Implementation challenges & optimizations
- Performance
- Conclusions

Performance

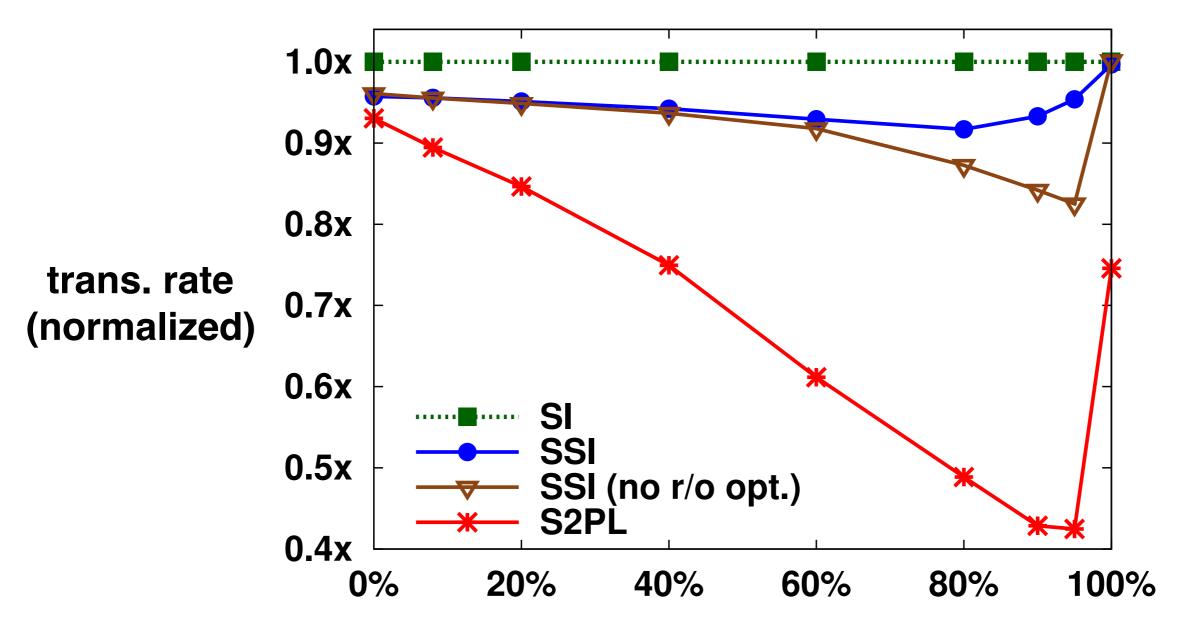
TPC-C-derived benchmark; modified to have SI anomalies

Varied fraction of r/o and r/w transactions

Compared PostgreSQL 9.1's SSI against SI, and an implementation of S2PL

Performance (in-memory)

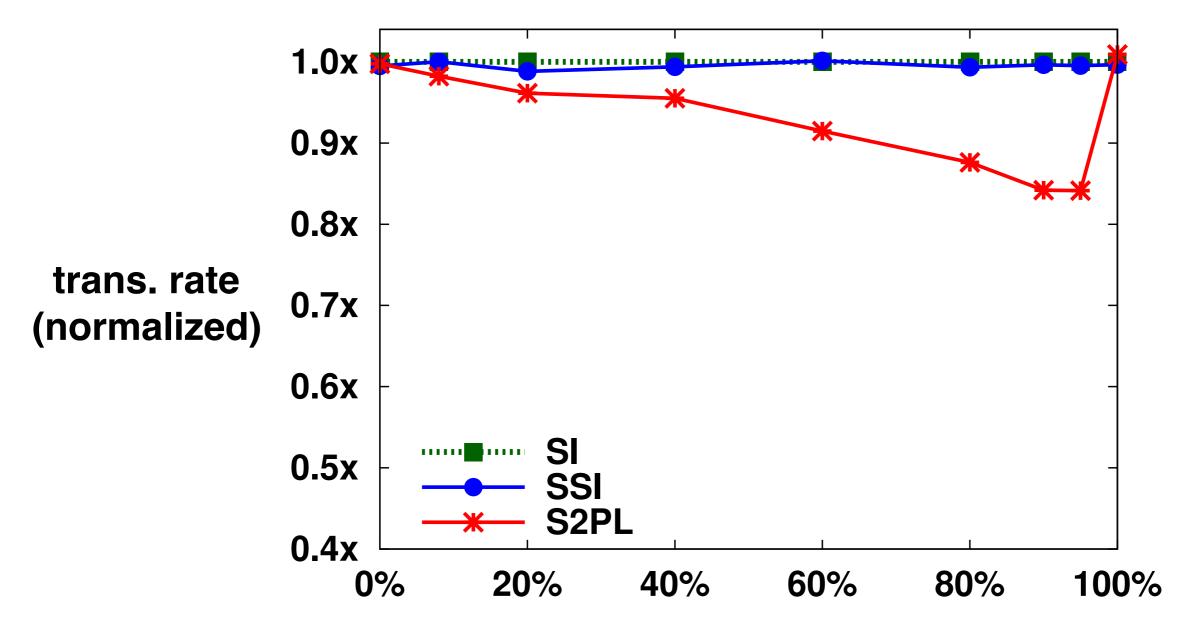
25 warehouses (3 GB), tmpfs



Fraction of read-only transactions

Performance (disk)

150 warehouses (19 GB)



Fraction of read-only transactions

Conclusion

SSI available now in PostgreSQL 9.1

- true serializability without blocking
- new lock manager to track read dependencies
- optimizations for read-only transactions

Performance close to that of SI

- outperforms S2PL on read-heavy workloads
- makes serializable mode a more practical option for some users